This interview is completely voluntary and confidential. If we should come to any question you do not want to answer, let me know and we'll go on to the next question. Your answers will be kept completely confidential.
SECTION A: HOUSEHOLD LISTING AND ACTIVITIES OF DAILY LIFE

IWTYPE
0.

IWER: What type of iw will you be conducting: English Self, English Proxy or Spanish Self?

After making your selection, if you will be conducting a Proxy or a Spanish interview, please press alt-o, arrow down to Form Language, highlight Proxy or Spanish, as appropriate, then press ok.

//"Type proxy interview"

English Self...................................1
English Proxy..................................2
Spanish Self.................................3 (not currently an option)

VERMO
Pla. Before we begin I need to make sure that that we are talking about the same person who was interviewed before. Would you please tell me in what month and year FILL (he/she) was born?

//"Proxy month born"

ENTER month (1-12) here, then press ENTER.

VERYR
Plb. (And in what year was he/she born?)

//"Proxy year born"

ENTER year here, then press ENTER

PRLSHP
PA0. What is your relationship to (R)?

//"Proxy’s relationship to R"

<table>
<thead>
<tr>
<th>Partner/Spouse</th>
<th>Child</th>
</tr>
</thead>
<tbody>
<tr>
<td>02.Spouse of R</td>
<td>10 Child, NA sex(incl. foster-</td>
</tr>
<tr>
<td>03.Partner of R</td>
<td>child/stepchild)</td>
</tr>
<tr>
<td>04.Ex-spouse</td>
<td>11 Son (incl. adopted)</td>
</tr>
<tr>
<td>05.Boyfriend/Girlfriend</td>
<td>12 Daughter (incl. adopted)</td>
</tr>
<tr>
<td></td>
<td>13 Stepson (incl. partner’s son)</td>
</tr>
<tr>
<td></td>
<td>14 Stepdaughter</td>
</tr>
<tr>
<td></td>
<td>(incl. partner’s daughter)</td>
</tr>
<tr>
<td></td>
<td>15 Son-in-law</td>
</tr>
<tr>
<td></td>
<td>(incl. daughter’s partner)</td>
</tr>
<tr>
<td></td>
<td>16. Daughter-in-law</td>
</tr>
<tr>
<td></td>
<td>(incl. son’s partner)</td>
</tr>
<tr>
<td></td>
<td>17. Foster son</td>
</tr>
<tr>
<td></td>
<td>18. Foster daughter</td>
</tr>
</tbody>
</table>

Parent
20. Parent, NA sex
21. Father (incl. adoptive father)
22. Mother (incl. adoptive mother)
23. Stepfather
24. Stepmother
25. Father-in-law (incl. partner’s father)

26. Mother-in-law (incl. partner’s mother)
27. Grandparent; great-grandparent, NA sex
28. Grandfather; great-grandfather
29. Grandmother; great-grandmother
Sibling/Sibling-in-law     Other relatives
31. Brother                     40. Grandchild/great grandchild, NA sex
32. Sister                      41. Grandson; great grandson
33. Stepbrother                 42. Granddaughter; great granddaughter
34. Stepsister                  43. Nephew; great nephew
35. Brother-in-law              44. Niece; great niece
36. Sister-in-law               45. Uncle; great-uncle
46. Aunt; great-aunt            47. Cousin
48. Other “in-law”              49. Other relative
50. Family/relative—NFS

Unrelated persons
61. Fiancée (if lives with R, code 03)
62. Housemate/roommate
63. Household employee; live-in sitter; housekeeper
64. Friend
65. Neighbor
66. Person related to R’s partner (exc. Child or parent)
67. Roomer; boarder
68. Landlord—incl. Owner of HU who employs R; incl. A relative of landlord
71. Clergy; priest
72. Physician/nurse/“hospital”
73. Co-worker/business partner/boss
74. Child-care worker (exc. 63)
75. Godchild
76. Godparent
77. Lawyer
78. Accountant; business manager; bookkeeper
79. Psychiatrist; counselor; social worker
80. School personnel
81. Police; courts; judge
97. Other unrelated

PWHCRS
PA0a. Where is (name of designated R/or relationship of R to Proxy) currently living?
("PR–Where R living now")

1. IN HOUSEHOLD WITH PROXY
2. IN ANOTHER HOUSEHOLD
3. IN A NURSING HOME OR LONG-TERM CARE FACILITY
7. OTHER (SPECIFY)

When did R enter that facility?

MONTH         YEAR

PWHY
PA0b. Why do you believe FILL (respondent name) is not able to do an interview by FILL (him/herself)?
("PR–why need proxy")

IWER INSTRUCTION: DO NOT READ RESPONSE OPTIONS TO RESPONDENT

HEARING IMPAIRMENT...........................................1
OTHER PHYSICAL/HEALTH DISABILITY.................2
MENTAL/COGNITIVE DISABILITY.................................3
LANGUAGE........................................................................4
OTHER (SPECIFY)..........................................................5

SKIP: IF PWHCRS=3, ASK PSCTABX2

PSCTABX1 IF PWHCRS (PA0a.) EQUALS 3 - NURSING HOME, TEXT FOR PA-1 SHOULD READ
“Before we start the interview, I need to list the people who live in (his/her) room or unit. I don’t need names, just the age, sex, and relationship to (him/her).”

PA-1 Before we start the interview, I need to list the people who live (there/in R’s household) besides (him/her)-adults 18 or older first, then people under 18. I don’t need names, just the age, sex, and relationship to (him/her) for each person.

(Let’s start with you?)

ADULT HOUSEHOLD LISTING

<table>
<thead>
<tr>
<th>Adult</th>
<th>Any others?</th>
<th>Relationship</th>
<th>Sex</th>
<th>Age</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>--</td>
<td>Respondent</td>
<td>PRSEX</td>
<td>PRAGE</td>
</tr>
<tr>
<td>2</td>
<td>PAYADLT1</td>
<td>PADLT1</td>
<td>PASEX1</td>
<td>PAAGE1</td>
</tr>
<tr>
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<td>PAYADLT2</td>
<td>PADLT2</td>
<td>PASEX2</td>
<td>PAAGE2</td>
</tr>
<tr>
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<td>PADLT3</td>
<td>PASEX3</td>
<td>PAAGE3</td>
</tr>
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<td>PASEX4</td>
<td>PAAGE4</td>
</tr>
<tr>
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<td>PAYADLT5</td>
<td>PADLT5</td>
<td>PASEX5</td>
<td>PAAGE5</td>
</tr>
</tbody>
</table>

PAYADLT
PA-1c Besides (him/her), are there any other adults 18 or older who live (there/in R’s household)?
"PR-Other adults there"

YES .1
NO..................................................5

PASEX
PA-1d What is the sex of the FILL(first/next) person?
"PR-Other adult-sex"

MALE......................................................1
FEMALE..............................................2

PADLT
PA-1e What is the relationship of that person to (him/her)?
"PR-Other adult-relationship"

SPOUSE....................................................1
PARTNER...............................................2
BIOLOGICAL CHILD.................................3
STEPCHILD..............................................4
ADOPTED CHILD.......................................5
FOSTER CHILD.........................................6
PARTNER’S CHILD.....................................7
GRANDCHILD..........................................8
NEPHEW/NIECE.......................................9
BIOLOGICAL PARENT...............................10
STEP Parent..........................................11
ADOPTED PARENT.....................................12
FOSTER PARENT........................................13
PARTNER’S PARENT..................................14
GRANDPARENT.......................................15
AUNT/UNCLE..........................................16
SIBLING....................................17
OTHER RELATIVE..........................18
OTHER NON-RELATIVE......................19

***soft consistency check – if the sex of the other HHM is the same as that of
the R and the spouse or partner codes are selected—You have told me that
(he/she) and (his/her) FILL (Spouse/Partner) are both FILL (Male/Female).
Is this correct?

***soft consistency check – if spouse or partner codes are selected and R has
already reported another HHM as spouse or partner—PROBE: You have told me that
(he/she) has more than one spouse or partner. Is that correct?

PAAGE
PA-1F What is the age of that person?
"PR-Other adult-age"

ENTER AGE FROM 18 TO 99
________AGE

PAYADLT
PA-1c Are there any other adults age 18 or older who live (there/in R’s household)?
"PR-Any more adults there"

YES........................................1
NO.........................................5

PSCTABX2
PA-2 CHILD HOUSEHOLD LISTING

<table>
<thead>
<tr>
<th>Child</th>
<th>Any children?</th>
<th>Relationship</th>
<th>Sex</th>
<th>Age</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>PAYCHLD1</td>
<td>PCHLD1</td>
<td>PCSEX1</td>
<td>PCAGE1</td>
</tr>
<tr>
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<td>PAYCHLD2</td>
<td>PCHLD2</td>
<td>PCSEX2</td>
<td>PCAGE2</td>
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<tr>
<td>3</td>
<td>PAYCHLD3</td>
<td>PCHLD3</td>
<td>PCSEX3</td>
<td>PCAGE3</td>
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<td>PAYCHLD5</td>
<td>PCHLD5</td>
<td>PCSEX5</td>
<td>PCAGE5</td>
</tr>
<tr>
<td>6</td>
<td>PAYCHLD6</td>
<td>PCHLD6</td>
<td>PCSEX6</td>
<td>PCAGE6</td>
</tr>
</tbody>
</table>

PAYCHLD1 IF PWHCRS (PA0a.) EQUALS 3 – NURSING HOME, SKIP TO PAYCHDE1 (PA3A)
PA-2a Is there anyone FILL (else) 17 or under who lives (there/in R’s household)?
"PR-Resident child there"

YES........................................1
NO.........................................5

SKIP: IF PAYCHLD1=5, ASK PAYCHDE1.

PCHLD1-PCHLD6
PA-2b What is the relationship of the FILL (first/next) person to (him/her)?
"PR-Resident child-relationship"

SPouse.........................1
PARTNER.........................2
BIOLOGICAL CHILD..............3
STEPCHILD......................4
ADOPTED CHILD..................5
FOSTER CHILD...................6
PARTNER’S CHILD...............7
GRANDCHILD....................8
NEPHEW/NIECE..................9
SIBLING......................17
OTHER RELATIVE ................. 18
OTHER NON-RELATIVE ........... 19

***soft consistency check – if spouse or partner codes are selected and R has already reported another HHM as spouse or partner—PROBE: You have told me that (he/she) has more than one spouse or partner. Is that correct?

PCSEX1-PCSEX6
PA-2c What is the sex of that person?
"PR-Resident child-sex"

MALE ....................................... 1
FEMALE ..................................... 2

***soft consistency check – if the sex of the other HHM is the same as that of the R and the spouse or partner codes are selected—You have told me that (he/she) and (his/her) FILL (Spouse/Partner) are both FILL (Male/Female). Is this correct?

PCAGE1-PCAGE6
PA-2d What is the age of that person?
"PR-Resident child-age"

AGE ________
ENTER age from 0 to 17
ENTER “0” if the child is less than 1

***soft consistency check – if spouse or partner codes are selected—PROBE: You have told me that (his/her) spouse is FILL (age). Is that correct?

PAYCHLD2-PAYCHLD6
PA-2a Is there anyone FILL (else) 17 or under who lives (there/in R’s household)?
"PR-Any more children there"

YES ........................................ 1
NO ......................................... 5

SKIP: IF PAYCHLD2-PAYCHLD6=1, ASK PCHLD2-PCHLD6.

PSCTABX3
PA-3 LISTING OF R’S CHILDREN LIVING ELSEWHERE

<table>
<thead>
<tr>
<th>Child</th>
<th>Any children?</th>
<th>Relationship</th>
<th>Sex</th>
<th>Age</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>PAYCHDE1</td>
<td>PCHLDEW1</td>
<td>PCSEXEW1</td>
<td>PCAGEEW1</td>
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<td>PCAGEEW2</td>
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<td>PAYCHDE3</td>
<td>PCHLDEW3</td>
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<td>PCAGEEW3</td>
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<tr>
<td>7</td>
<td>PAYCHDE7</td>
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<td>PCSEXEW7</td>
<td>PCAGEEW7</td>
</tr>
<tr>
<td>8</td>
<td>PAYCHDE8</td>
<td>PCHLDEW8</td>
<td>PCSEXEW8</td>
<td>PCAGEEW8</td>
</tr>
</tbody>
</table>

PAYCHDE1
PA3a Does (he/she) have any children of any age who are not living (there/in R’s household) with (him/her)? These may be biological, adopted or stepchildren.
"PR-Any nonresident children"

YES ........................................ 1
NO ......................................... 5
SKIP: IF PAYCHDE1=5, ASK: NEXT PAGE

PCHLDEW1-PCHLDEW8
PA-3b (Let’s start with the oldest.)
“Now the second child”, “Now the third child” etc. for PCHLDW2-PCHLDW8

Is this child (his/her) biological, adopted or stepchild?
//”PR-Nonres child-relationship”

BIOLOGICAL CHILD...........................3
ADOPTED CHILD..............................4
STEPCHILD..................................5

PCSEXEW1-PCSEXEW8
PA-3c What is the sex of that child?
//”PR-Nonres child-sex”

MALE.......................................1
FEMALE.....................................2

PCAGEEW1-PCAGEEW8
PA-3d What is the age of that child?
//”PR-Nonres child-age”

ENTER AGE FROM 0 to 99
Age __________

PAYCHDE2-PAYCHDE8
PA-3a Does (he/she) have any other children of any age who are not living (there/in
R’s household) with (him/her)?
//”PR-Any more nonres children”

Yes........................................1
No.........................................5

SKIP: IF PAYCHDE2-PAYCHDE8=1, ASK PCHLDEW2-PCHLDEW8.

SECTION B NOT INCLUDED IN PROXY QUESTIONNAIRE.
SECTION C: MARRIAGE AND RELATIONSHIPS

PMARSTAT
PC1. Is (he/she) currently married, separated, divorced, widowed or has (he/she) never been married?
/"PR-Current marital status"

IER NOTE: R may be married or partnered but not living with spouse/partner. Please ask this question even if R does not live with spouse.

1. MARRIED 2. SEPARATED 3. DIVORCED; MARRIAGE ANNULLED 4. WIDOWED 5. NEVER MARRIED

PMARYR
PC1a. In what year was (he/she) married?
/"PR-Year married"

IER: ENTER 4 digit year.

PPARTNER
PC1b. Is (he/she) currently living with another adult as a partner in an intimate relationship?
/"PR-In intimate relationship"

1. YES 5. NO ---> GO TO PRESMOBO

PLONGPRT
PC1c. For how many months or years has (he/she) been living with (his/her) partner?
/"PR-How long live with partner"

ENTER number of month/years here and CHOOSE unit on the next screen
/"PR-Unit time live with partner"

PC1cMY
PC1c. CHOOSE month or Year

Month.........................1
Year.........................2

Soft Check: If PLONGPRT > R’s age from preload -12 -> The number of years should be at least 12 years less than R’s age

SECTION D NOT INCLUDED IN PROXY QUESTIONNAIRE.
SECTION E: Physical Environment and Social Environment

PRESMB1D - PRESMB2D
PE6a, PE10
FILL (In what year did (he/she) move into the place where (he/she) is living now? / In what year did (he/she) move into that place?)

If the respondent gives R’s age at the time of the move, PROBE him/her for a date.

If the respondent indicates R has lived at current residence all of R’s life, PROBE for and enter year of birth.
// “PR-Year moved in”

ENTER Year

Year _________________

Hard check—If PRESMB2D > the date given at previous PRESMB2D—The year should be less than FILL (XXXX).
Hard check—If PRESMB1D - PRESMB2D < R’s birth year—The year should be greater than or equal to R’s birth year.
VALID RANGE = 1900-2011

SKIP: IF PRESMB1D < 1990, SKIP to PHOUSTYP

PMOVED

PE7. Is the place that he/she living now the same place he/she lived in [FILL year of last interview]
// “PR-Moved since last IW”

1. YES  5. NO

IF YES on PMOVED, go to PWILLMOV
IF NO on PMOVED, go to PMOVEHEA

PMOVEHEA

PE8. Were negative changes in his/her health a factor in his/her decision to move to this place?
// “PR-Health a factor in move”

1. YES  5. NO

PMOVECOS

PE8b. Were negative changes in his/her financial situation a factor in his/her decision to move to this place?
// “PR-Finances a factor in move”

1. YES  5. NO

ALL RESPONDENTS TO PMOVECOS GO TO PHOUSTYP

PWILLMOV

PE9. Does he/she want or need to move to a new residence in the next few years?
// “PR-Thinking of moving”
IF YES on PWILLMOV, go to PWILLMHE
IF NO on PWILLMOV, go to PHOUSTYP

PWILLMHE
PE10. Does he/she want or need to move to a new residence because of negative changes in his/her health?
"PR-May move for health"

IF YES, go to PWILLMHE
IF NO, go to PHOUSTYP

PWILLMHE

PE10b. Does he/she want or need to move to a new residence because of negative changes in his/her financial situation?
"PR-May move for financial"

IF YES, go to PWILLMHE
IF NO, go to PHOUSTYP

PHOUSTYP
PE11 Now I have some questions about where (he/she) is currently living.

Does (he/she) live in a single family house, a duplex, an apartment, a town house or row house, a trailer, a nursing home or long-term care facility or some other type of place?
"PR-House type"

INTERVIEWER instruction: if R says: “He/she lives in a condo,” respond: we want to ask if the condo is located in a duplex, or apartment, townhouse/row house, or something else.

SINGLE FAMILY HOUSE………………………………………………………1
DUPLEX………………………………………………………………………………2
APARTMENT (in house or building).................................3
TOWN HOUSE/ROW HOUSE………………………………………4
TRAILER..............................................................5
NURSING HOME.........................................................6
ASSISTED LIVING FACILITY.......................................7
OTHER (SPECIFY).......................................................8

SKIP: IF PHOUSTYP=6,7----> PBED

PHSTYPSP
PE11 Please specify
"PR-House type-other"

Specify

PMOBENHA  8/17/2011
PE14. Does your home have special features to make it safer and easier to get around such as modifications for users of wheel chairs, railings or grab bars to help people get around in baths or
elsewhere, or special devices to call for help?

YES . . . . . 1 ASK E14a

NO . . . . . 5 SKIP to E16 NBSATIS

//“Mobility enhancements in house”

WHATMOBENHAN

PE14a. Please tell ME if your home has each of the following:

Yes/No Ramps at street level
Yes/No Special railings to help (him/her) get around
Yes/No Modifications for wheelchair access
Yes/No Modifications to bathroom such as grab bars or shower seat
Yes/No Special call device or system to get help when (she/he) needs it

//“What mobility enhancements in house”

HsTyp-Sp
PF11 Please specify
//“House type-other”

ENTER open-ended response

_________________

SECTION F NOT INCLUDED IN PROXY QUESTIONNAIRE.
SECTION G  Health and Limitation of Activities

The next questions are about (his/her) health.

PBED
PG1  Is (he/she) currently in bed or in a chair for most or all of the day because of (his/her) health?

/“PR-In Bed or Chair”

YES       ..1
NO        ..5

PBATH
PG2  Does (he/she) currently have any difficulty bathing by (himself/herself)?

/“PR-Bathing”

YES       ..1
NO        ..5

SKIP: IF 1, GO TO PBATHDIF
IF 5, GO TO CHECKG1

PBATHDIF
PG2a  How much difficulty does (he/she) have bathing by (himself/herself)—a little, some, a lot, or (he/she) can’t do this on (his/her) own?

/“PR-Difficulty with Bathing”

A LITTLE    ..1
SOME        ..2
A LOT       ..3
CANNOT DO  ..4

SKIP: IF 1 OR 2, GO TO INTERVIEWER CHECKPOINT
IF 3 OR 4, GO TO PMEALPREP

CHECKG1
PG2b  INTERVIEW CHECKPOINT
IF BED =1, GO TO PMEALPREP
ALL OTHERS, GO TO PCLIMB
PCLIMB
PG3  Does (he/she) currently have any difficulty climbing a few flights of stairs because of (his/her) health?

//"PR-Climbing Stairs"
YES    ..1
VOLUNTEERED AGE IS ONLY LIMITATION ..3
NO     ..5

SKIP:    IF 1 OR 3, GO TO PCLMBDIF
IF 5, GO TO PWALK

PCLMBDIF
PG3a  How much difficulty does (he/she) have— a little, some, a lot, or (he/she) can’t do this at all?

//"PR-Difficulty Climbing Stairs"
A LITTLE    ..1
SOME        ..2
A LOT        ..3
CANNOT DO   ..4

PWALK
PG4  Does (he/she) currently have any difficulty walking several blocks because of (his/her) health?

//"PR-Walking Several Blocks"
YES    ..1
VOLUNTEERED AGE IS ONLY LIMITATION ..3
NO     ..5

SKIP:    IF 1 OR 3, GO TO PWALKDIF
IF 5, GO TO CHECKPG2

PWALKDIF
PG4a  How much difficulty does (he/she) have— a little, some, a lot, or (he/she) can’t do this at all?

//"PR-Difficulty Walking Several Blocks"
A LITTLE    ..1
SOME        ..2
A LOT        ..3
CANNOT DO   ..4

CHECKPG2
PG5  INTERVIEWER CHECKPOINT

If R answered "A LOT" (3) or "CANNOT DO" (4) to either PCLMBDIF or PWALKDIF, go to PMEALPREP.
All others, go to PHVYWORK.

PHVYWORK
PG6  Would (he/she) currently have any difficulty doing heavy work around the house such as shoveling snow or washing walls, because of (his/her) health?

//"PR-Heavy Work around the House"
YES    ..1
VOLUNTEERED AGE IS ONLY LIMITATION ..3
NO     ..5

SKIP:    IF 1 OR 3, GO TO PHVYDIF
IF 5, GO TO PMEALPREP
PHVYDIF
PG6a  How much difficulty would (he/she) have-- a little, some, a lot, or (he/she) can't do this at all?

"PR-Difficulty with Heavy Work"

A LITTLE  ..1
SOME       ..2
A LOT      ..3
CANNOT DO ..4

PMEALPREP
PG7  Because of a health or memory problem does (he/she) have any difficulty preparing a hot meal if he/she had to - no difficulty, some, a lot, can only do with help from a person or special equipment, or (he/she) cannot do this at all?

"PR-Difficulty Preparing a Meal"

INTERVIEWER NOTE: IF NEEDED, special equipment could include a special cutting board or knife, or other specially adapted kitchen tools

No difficulty .........................1
Some difficulty.........................2
A lot of difficulty ....................3
Can only do it with help from a person or special equipment. . .4
Cannot do it ...........................5

PSHOPPING
PG8  (How about) doing all (his/her) shopping, if (he/she) had to – would you say no difficulty, some, a lot, can only do with help from a person or special equipment, or (he/she) cannot do this at all?

"PR-Difficulty Shopping"

INTERVIEWER NOTE: IF NEEDED, special equipment could include a scooter or mobility device, or other special assistive devices

No difficulty .........................1
Some difficulty.........................2
A lot of difficulty ....................3
Can only do it with help from a person or special equipment. . .4
Cannot do it ...........................5

PMEDICATIONS
PG10  How about taking medications – would you say no difficulty, some, a lot, can only do with help from a person, or (he/she) cannot do this at all?

"PR-Difficulty Taking Medications"

No difficulty .........................1
Some difficulty.........................2
A lot of difficulty ....................3
Can only do it with help from a person. . .4
Cannot do it ...........................5

PMONEY
PG11  What about managing money – would you say no difficulty, some, a lot, can only do with help from a person, or (he/she) cannot do this at all?

"PR-Difficulty Managing Money"

No difficulty .........................1
Some difficulty.........................2
A lot of difficulty ....................3
Can only do it with help from a person. . .4
Cannot do it ..................................5

Please tell me how difficult it is for (him/her) to perform each of the following activities by (himself/herself).

PREACHUP
PG12 Reaching or extending (his/her) arms above (his/her) shoulders - would you say no difficulty, some, a lot, or (he/she) cannot do this at all?
//PR-Reaching Arms Up

  No difficulty  1
  Some difficulty  2
  A lot of difficulty  3
  Cannot do it  4

PHANDLE
PG13 (How about) writing or handling small objects - no difficulty, some, a lot, or (he/she) cannot do this at all?
//PR - Handling Small Objects

  No difficulty  1
  Some difficulty  2
  A lot of difficulty  3
  Cannot do it  4

PMOBILDEVICE
PG14 In the last month has he/she used equipment or devices such as a cane, walker or wheelchair to get around more easily, safely, or on his/her own?
//PR-Mobility Devices

  1. YES
  5. NO
  8. DK (Don't Know)
  9. RF (Refused)

  SKIP: IF PMOBILDEVICE = 5, GO TO PSRH

PEQUIP
PG14a What equipment is that?
//PR-What equipment

  CHOOSE all that apply

  1. WALKER
  2. CANE
  3. CRUTCHES
  4. ORTHOPEDIC SHOES
  5. BRACE (LEG OR BACK)
  6. PROSTHESIS
  7. WHEELCHAIR/SCOOTER
  8. OTHER (SPECIFY)
  9. DK (Don't Know)
  10. RF (Refused)

PSRH
PG15 How would you rate (his/her) health at the present time? Would you say it is excellent, very good, good, fair or poor?
//PR-Self Rated Health

  EXCELLENT ..1
  VERY GOOD ..2
  GOOD ..3
  FAIR ..4
  POOR ..5
PWEIGHT
PG20  About how much does (he/she) weigh?
//PR-Reported Weight
_____________________ pounds

IWER: ENTER weight in pounds (valid range = 50-400 pounds). If >400, code as 400. If respondent gives a range, ask for best estimate and note range in F2.

PSMOKE
PG22  Does (he/she) smoke cigarettes now?
//PR-Current Smoker
YES ..1
NO ..5

SKIP: IF PSMOKE = 5, GO TO PDRINK

PSMOKDAY
PG22a  On average, how many days a week does (he/she) smoke cigarettes?
//PR-Smoking in Days per Week

ENTER number from 1-7
ENTER “1” if R volunteers less than once per week
__________ day(s) per week

PSMOKNUM
PG22b  On days (he/she)smokes, how many cigarettes or packs does (he/she) usually smoke?
//PR-Cigarettes per Day

ENTER number here. ENTER unit (cigarettes, packs) on next screen. (Decimal values are also accepted)
__________ cigarette(s) OR ____________ pack(s)

PSMOKU
PG22c  ENTER unit

Cigarettes ..1
Packs ..2

PDRINK
PG25  Does (he/she) ever drink alcoholic beverages such as beer, wine or liquor?
//PR-Drink

YES ..1
NO ..5

SKIP: If PDRINK=5, GO TO PINSURE

PDRNKDAY
PG25a  During the last month, on how many days did (he/she) drink beer, wine or liquor?
//PR-Drink Frequency

ENTER number of days from 0 to 31
ENTER “0” if less than one per month
SKIP: IF NONE, GO TO PINSURE

PDRNKNUM
PG25b On days that (he/she) drinks, how many cans or bottles of beer, glasses of wine or drinks of liquor does (he/she) usually have?
"PR-Number of Drinks"

ENTER number of drinks from 0.0 to 20.0 (Decimal values are also accepted)

________________________
**PINSURE1**

G26 Which, if any, of the following kinds of health insurance for doctor’s or hospital bills does (he/she) have?

/*PR-Health Insurance*/

ENTER all that apply, separated by dashes

1. Medicare Part A that covers hospital costs

2. Medicare Part B that covers doctor costs

3. Medicare Part D that covers prescription drugs

4. Medicaid (FILL State equivalent - do we have this current list from HRS?)

5. TRI-CARE, CHAMPUS, CHAMPVA or any other military health care plan.

6. Health insurance through (his/her) (FILL or (his/her) spouse’s/partner’s) employer

7. An insurance policy that (he/she) (FILL or (his/her) spouse/partner) arranges and pays for entirely on their own. This could be (his/her) only health insurance or a supplement to the other insurance that you’ve mentioned

8. No insurance [CHECK IF NO TO ALL OF THE ABOVE AND ASK G27]

**SKIP: IF PINSURE1 .NE. 9, Go to CHECKG4**

**CHECKG4**

If PINSURE1 = 8, Go to PHINONE

If PINSURE1 .NE. 8, Go to PDRUGCOST

**PHINONE**

PG27 From what you have just told me, (he/she) is without any type of health insurance at this point in time. Is that correct?

/*PR-No Health Insurance*/

YES, NO HEALTH INSURANCE..............1

NO, SOME INSURANCE......................5

**SKIP: IF PHINONE = 5, ASK PHIYNO**

**PHIEXP**

PG27a How is that? What type of insurance does FILL (he/she) have that we didn’t talk about?

/*PR-Other Health Insurance 2*/

SPECIFY _______________________________

GO TO PDRUGCOST

**PHIYNO**

PG27b What is the main reason that (he/she) does not have any health insurance right now?

/*PR-Reason No Insurance*/

Open ended question: Code R’s response into the category that best fits. Do not read the code frame to the R.

CANNOT AFFORD IT/TOO EXPENSIVE..............1
DOES NOT WANT IT..................................2
DOES NOT NEED IT..................................3
HIS/HER JOB DOESN’T PROVIDE IT.................4
HE/SHE IS NOT FULL-TIME...........................5
JUST CHANGED JOBS..................................6
JUST MOVED............................................7
DOESN’T KNOW WHAT TO GET..........................8
TOO ILL TO GET IT....................................9
OTHER REASON..........................................10

PHIYNOSP
PG27bSP SPECIFY
/”PR-Other Reason No Insurance”

PDRUGCOST
PG40 Are the costs of prescription medications completely covered by (his/her) health insurance, mostly covered, only partially covered, or not covered at all by (his/her) insurance?
/”PR-Insurance for Drugs”

1. COMPLETELY COVERED
2. MOSTLY COVERED
3. PARTIALLY COVERED
4. NOT COVERED AT ALL.
5. [VOL] COSTS NOT SETTLED YET

PHOSPITALCOST
PG41. How about the costs of staying or being treated in a hospital? Are these costs completely covered by (his/her) health insurance, mostly covered, only partially covered, or not covered at all by (his/her) insurance?
/”PR-Insurance for hospital”

1. COMPLETELY COVERED
2. MOSTLY COVERED
3. PARTIALLY COVERED
4. NOT COVERED AT ALL.
5. [VOL] COSTS NOT SETTLED YET

PDOCTORCOST
PG42. How about the costs of seeing doctors or other health professionals outside of a hospital, for example in their office or an outpatient clinic? Are these costs completely covered by (his/her) health insurance, mostly covered, only partially covered, or not covered at all by (his/her) insurance?
/”PR-Insurance for outpatient care”

1. COMPLETELY COVERED
2. MOSTLY COVERED
3. PARTIALLY COVERED
4. NOT COVERED AT ALL.
5. [VOL] COSTS NOT SETTLED YET

PNRSHOME if PE11 housetype equals nursing home, skip to HOSP (G29)
PG28 The next questions are about (his/her) use of health care services. Has (he/she) been a resident or patient in a nursing home or a long-term care facility at any time since (fill month and year of last interview)?
/”PR-Admitted to Nursing Home”

YES .............................................1
NO .............................................5
SKIP: IF PNRSHOME = 5, ASK PHOSP

PENTNRSHM
PG28a  In what month and year did (he/she) enter the nursing home or long-term care facility the last time?

/"PR-Year Entered Nursing Home"

ENTER 2 digit month _______ Range = 0-12

ENTER 4 digit year _________ Range = 1900-2011 (Or 1900-2012 for 2012 interviews)

SOFT CHECK: If PENTNRSHM < YEAR OF LAST INTERVIEW, IWER: “you indicated that (he/she) entered the nursing home in FILL (___). This is before the date of the last interview. Is this correct?”

PLVNRSHM
PG28b  In what month and year did (he/she) leave the nursing home or long-term care facility?

/"PR-Year Left Nursing Home"

ENTER 2-digit month _____ Range = 0-12

ENTER 4 digit year _________ Range = 1986-2011 (OR 1986-2012 for 2012 interviews)

ENTER 5000  if R indicates he/she is currently in the facility.
PHOSP
PG29 (The next questions are about (his/her) use of health care services.)
During the past 12 months, how many times did (he/she) stay overnight as a patient in a hospital at least one night? (FILL IF PNRSHOME = 1 Do not count any times he/she stayed in a nursing home.)

//"PR-Number of Hospital Admissions"
ENTER number of times 0 - 365.
_______________ times

PER
PG29a During the past 12 months, how many times did he/she receive care in an emergency room?
//"PR-ER Visits"
ENTER number of times 0-365.
_________ times

PHPROF
PG29b During the past 12 months, about how many times did (he/she) go to see a medical doctor, nurse practitioner, or physician’s assistant about (his/her) own health? Do not count health care providers seen while (he/she) was an overnight patient in a hospital, nursing home or long-term care facility, or while in an emergency room.

//"PR-Doctor Visits"
ENTER number of times 0-365.
_________ times

PMNTHLTH
PG30 During the past 12 months, has (he/she) seen somebody for a personal or mental problem – someone like a psychiatrist, psychologist, medical doctor, clergy, or social worker?
//"PR-Mental Health Visits"
YES ..1
NO ..5

PJOINT
PG32 Has he/she ever had surgery for any joint replacement?
//"PR-Joint Replacement"
1. YES
5. NO

SKIP: IF PJOINT = 5, ASK PRECOGFR
**WHICH JOINT**

PG32a Which joint(s) was/were replaced and what year did the replacement occur?

/"Which Joint"

ENTER all that apply

1. LEFT HIP
2. RIGHT HIP
3. LEFT KNEE
4. RIGHT KNEE
5. HAND/WRIST AREA
6. FOOT/ANKLE AREA
7. SHOULDER(S)
8. SPINE
9. OTHER (SPECIFY)__________________________

**GLAUCOMA**

PG34 Has a doctor ever treated him/her for glaucoma?

/"PR-Glaucoma"

1. YES
5. NO

**CATARACT**

PG35 Has he/she ever had cataract surgery?

/"PR-Cataract Surgery"

1. YES
5. NO

**HEAR AID**

PG36 Does (he/she) ever wear a hearing aid?

/"PR-Hearing Aid"

1. YES
5. NO

Now I have some questions about some specific health conditions and diseases that he/she may or may not have ever had.

As of 8/4/11, We are not going to use the preloaded health conditions information for the proxy interview per HRS

**HYPER**

PG42a Has a doctor or other health care provider ever told him/her that he/she has high blood pressure or hypertension?

/"PR-Hypertension"

YES........................................1
NO.........................................5

**HYPERA**

PG42b When was he/she first told he/she has high blood pressure or hypertension?

/"PR-When Hypertension"
ENTER year

____________

Hard check - If PHYPERA < R’s birth date, The year should be greater than or equal to R’s birth year.

PHYPERB
PG42c Has he/she had high blood pressure in the past 12 months?
//“PR-Hypertension Past Year”
YES........................................1
NO.........................................5

PHYPERC
PG42d During the past 12 months, has he/she received any treatment or taken any medicines for high blood pressure that were prescribed by a doctor or other health care provider?
//“PR-Treatment for Hypertension”

YES........................................1
NO.........................................5
As of 8/4/11, We are not going to use the preloaded health conditions information for the proxy interview per HRS

REMOVE PRELOAD FOR DIABETES

PDIAB
PG43a Has a doctor or other health care provider ever told him/her that he/she has diabetes or problems with high blood sugar?

/“PR-Diabetes”
YES.................................................1
NO.................................................5

SKIP: IF PDIAB 5, GO TO PEMPH

PDIABA
PG43b When was he/she first told he/she has diabetes or problems with blood sugar?

/“PR-When Diabetes”

ENTER year

________

Hard check – If PDIABA < R’s birth date, The year should be greater than or equal to R’s birth year.

PDIABB
PG43c Has he/she had diabetes or high blood sugar in the past 12 months?

/“PR-Diabetes Past Year”
YES.................................................1
NO.................................................5

PDIABC
PG43d During the past 12 months, has he/she received any treatment or taken any medicines for diabetes that were prescribed by a doctor or other health care provider?

/“PR-Treatment for Diabetes”
YES.................................................1
NO.................................................5

PEMPH
PG44 Has a doctor or other health care provider ever told him/her that he/she has Chronic Lung Disease (such as chronic bronchitis, emphysema, sometimes called COPD, which is chronic obstructive pulmonary disease)?

/“PR-Lung Disease”
YES .1
NO.................................................5

SKIP: IF PEMPH = 5, GO TO PMI

PEMPHFA
PG44a When was he/she first told he/she has chronic lung disease?

/“PR-When Lung Disease”

ENTER year

________

Hard check – If EMPHA < R’s birth date, The year should be less than R’s birth year.

PEMPHFB
PG44b Has he/she had chronic lung disease in the past 12 months?
// "PR-Lung Disease Past Year"

YES........................................1
NO.........................................5

PEMPHB
PG44c During the past 12 months, has he/she received any treatment or taken any medicines for chronic lung disease, emphysema or COPD that were prescribed by a doctor or other health care provider?
// "PR-Treatment for Lung Disease"

YES........................................1
NO.........................................5

As of 8/4/11, We are not going to use the preloaded health conditions information for the proxy interview per HRS
REMOVE PRELOAD FOR MI

PMI
PG45 Has a doctor or other health care provider ever told him/her that he/she had a heart attack?
// "PR-Heart Attack"

YES........................................1
NO.........................................5

SKIP: IF MI = 5, GO TO PHEART

PMIA
PG45a How many heart attacks has he/she had?
// "PR-Number of Heart Attacks"

ENTER number 1-10, ENTER "10" if more than 10.

________

PMIB
PG45b In what year did he/she have his/her first heart attack?
// "PR-Year First Heart Attack"

ENTER year

________

Hard check – If MIB < R’s birth date, The year should be less than R’s birth year.

PMIC
PG45c Has he/she had a heart attack in the past 12 months?
SKIP: ONLY ASK PMIC IF PMIB < 2011 (if interview in 2011) or MIB < 2012 if interview in 2012
// "PR-Heart Attack Past Year"

YES........................................1
NO.........................................5

PHEART
G45d Has a doctor or other health care provider ever told him/her that he/she has had any other kind of heart trouble, such as coronary heart disease, angina, congestive heart failure, or other heart problems?
// "PR-Other heart probs"
YES .1
NO .5

SKIP: IF PHEART=5, GO TO PHEARTME

PHEARTA
G45e When was he/she first told he/she had heart problems?
//“PR-When Heart probs”

ENTER year
_______

Hard check – If PHEARTA < R’s birth date; The year should be greater than or equal to R’s birth year.

PHEARTB
G45f Has he/she had heart problems in the past 12 months?
//“PR-Heart Probs Past Year”

YES .1
NO .5

PHEARTME
PG45g During the past 12 months, has he/she received any treatment or taken any medicines for his/her heart that were prescribed by a doctor or other health care provider?
//“PR-Treatment for Heart Attack”

YES..............................1
NO.................................5

PSTROKE
PG46 Has a doctor or other health care provider ever told him/her that he/she has had a stroke?
//“PR-Stroke”

YES.............................1
NO.............................5
Volunteers Possible Stroke or TIA (Transient Ischemic Attack) 3.

SKIP: IF 5 OR 3, GO TO PCANCER

PSTROKEA
PG46a How many strokes has he/she had (FILL IF PG46 = 3 ADD: not including mini-strokes or TIAs)?
//“PR-Number of Strokes”

ENTER number 0-10, ENTER ”10” if more than 10.
_______

SKIP: IF PSTROKE = 0, ASK PCANCER

PSTROKEB
PG46b In what year did he/she have his/her first stroke?
//“PR-Year First Stroke”

ENTER year
_______

Hard check – If PSTROKEB < R’s birth date, The year should be greater than or equal to R’s birth year.
PSTROKEC
PG46c Did he/she have FILL (this stroke/any of your strokes) during the past 12 months or still have any remaining problems from a stroke that occurred earlier??
/"PR-Stroke Past Year"

YES........................................1
NO...........................................5

PSTROKED
PG46d During the past 12 months, has he/she received any treatment or taken any medicines for his/her stroke that were prescribed by a doctor or other health care provider?
/"PR-Treatment for Stroke"

YES........................................1
NO...........................................5

As of 8/4/11, We are not going to use the preloaded health conditions information for the proxy interview per HRS
REMOVE PRELOAD FOR CANCER

PCANCER
PG47a Has a doctor or other health care provider ever told him/her that he/she has cancer or a malignant tumor of any kind?
/"PR-Cancer"

YES........................................1
NO...........................................5

SKIP: IF PCANCER = 5, GO TO PARTH

PCANCERA
PG47b In what year was he/she first told he/she has cancer or a malignant tumor?
/"PR-Year first Cancer"

ENTER year

________

Hard check – If PCANCERA < R’s birth date, The year should be greater than or equal to R’s birth year.

PCANCERB
PG47c What kind of cancer or malignant tumor was this? (In what part of his/her body did it start?)
/"PR-Type of Cancer"

________________________________  TYPE OF CANCER (Selection from Pick List)

PCANCERC
PG47d Has he/she had cancer or a malignant tumor in the past 12 months?
/"PR-Cancer Past Year"

YES........................................1
NO...........................................5

PCANCERD
PG47e During the past 12 months, has he/she received any treatment or taken any medicines for cancer or a malignant tumor that were prescribed by a doctor or other health care provider?
PR-Treatment for Cancer

YES........................................1
NO.........................................5

PARTH
PG48a Has a doctor or other health care provider ever told him/her that he/she has arthritis or rheumatism?

PR-Arthritis

YES........................................1
NO.........................................5

SKIP: IF PARTH = 5, GO TO PFOOT

PARTHA
PG48b When was he/she first told he/she has arthritis or rheumatism?

PR-When Arthritis

ENTER year

__________

Hard check - If PARTHA < R’s birth date, The year should be greater than or equal to R’s birth year.

PARTHB
PG48c Has he/she had arthritis in the past 12 months?

PR-Arthritis Past Year

YES........................................1
NO.........................................5

PARTHC
PG48d During the past 12 months, has he/she received any treatment or taken any medicines for arthritis that were prescribed by a doctor or other health care provider?

PR-Treatment for Arthritis

YES........................................1
NO.........................................5

PFRACT
PG51 Now I have just a few more questions about health problems he/she might have had in the past 12 months. Has she/he had any broken or fractured bones in the past 12 months?

PR-Fractures

YES........................................1
NO.........................................5

SKIP: IF PFRACT = 5, GO TO PURINE

PFRACBONE
PG51a Which bone was this? SELECT all that apply

PR-Which Bone Fractured

1. HIP(S)
2. KNEE(S)
3. HAND/WRIST AREA
4. FOOT/ANKLE AREA
5. SHOULDER(S)
6. SPINE
7. OTHER (SPECIFY)
8. DK (Don't Know)
9. RF (Refused)

PURINE
PG52 Has (he/she) lost any amount of urine beyond (his/her) control in the last 12 months?
//“PR-Incontinence”

   YES ..1
   NO  ..5

PCHOLMED
PG53 Does (he/she) regularly take prescription medications to help lower (his/her) cholesterol?
//“PR-Cholesterol Medications”

   1. YES
   5. NO

SKIP: IF PCHOLMED = 5, ASK PPAINMED

PSTATINS
PG53a Is the cholesterol-lowering medication that he/she is taking known as a statin (such as Lipitor, Torvastatin or Simbastatin)?
//“PR-Statins”

   1. YES
   5. NO

PPAINMED
PG54 Does (he/she) regularly take prescription or over-the-counter medications for pain in (his/her) joints or muscles?
//“PR-Pain Medications”

   1. YES
   5. NO

PTOTALMED
PG55 In total how many different prescription medications does (he/she) take every day?
//“PR-Number of Medications”

   1. None
   2. 1-2
   3. 3-4
   4. 5-7
   5. 8 or more

PASPIRIN
PG56 Does (he/she) take an aspirin every day to prevent heart problems or stroke?
//“PR-Aspirin”

   1. YES
   5. NO

SECTION H NOT INCLUDED IN PROXY QUESTIONNAIRE.
SECTION J: PAID EMPLOYMENT

PBRERCE
PJ1. We would like to know about what (he/she) does. (READ SLOWLY) Is (he/she) working now for pay, looking for work, retired from a paid job, keeping house, a student or something else? (CHECK ALL THAT APPLY)

"PR-Major activity"

WORKING NOW
ONLY TEMPORARILY LAYED OFF; SICK OR MATERNITY LEAVE
UNPAID FAMILY WORKER
LOOKING FOR WORK, UNEMPLOYED
RETIRED FROM A PAID JOB
PERMANENTLY DISABLED
KEEPING HOUSE
STUDENT
OTHER (SPECIFY)

SKIP: IF PBRERCE=1,2,3, ASK PWKYRWRK
SKIP: IF PBRERCE=5, ASK PRETIRE
SKIP: IF PBRERCE=4,5,6,7,8,9 AND NOT EQUAL TO 1,2,3, ASK PANYWORK

PRETIRE
PJ1a. Did (he/she) retire before (MONTH OF IW, 1986, 1989 or 1994)?

"PR-retired already"

YES
NO
DK

SKIP: IF PRETIRE = 5, ASK PRETHLTH
SKIP: IF PRETIRE = 1 OR 8 AND PBRERCE .NE. 1 or 2 or 3, ASK PANYWORK
SKIP: IF PRETIRE = 1 OR 8 AND PBRERCE= 1 or 2 or 3, ASK PWKYRWRK

PRETHLTH
PJ1b. Did (he/she) retire for health reasons?

"PR-retired for health"

YES
NO

SKIP: IF PBRERCE = 1 OR 2 OR 3, ASK PWKYRWRK
SKIP: IF PBRERCE = 1 OR 2 OR 3, ASK PANYWORK

PANYWORK
PJ2. Is (he/she) doing any work for pay at the present time?

"PR-any work for pay"

YES
NO

SKIP: IF PANYWORK = 1, GO TO PWKYRWRK
SKIP: IF PANYWORK = 5, GO TO FCOGST1

PWKYRWRK
PJ3. How many weeks altogether was (he/she) employed during the past 12 months, including paid vacations and sick leave?

"PR-weeks worked past year"

ENTER weeks 1-52.

If the answer is 1-12, ASK: Is that weeks or months? If months, repeat the
question.

POCCUP
PJ5. What is his/her occupation on his/her main job now? What sort of work does he/she do?
/"PR-Occupation"

ENTER open ended answer:

___________________________________________________________________________

PWRKACTIV
PJ6. What are his/her most important activities or duties?
/"PR-Activities or duties"

ENTER open ended answer:

___________________________________________________________________________

PINDUS
PJ7. What kind of business or industry is that in?
/"PR-Business or industry"

PROBE for detailed information about the product made where R works, or the kind of service provided; e.g., What do they make or do where you work?

___________________________________________________________________________

PWRKHRWK
PJ10. On the average, how many hours a week does he/she work on this job, including paid and unpaid overtime?
/"PR-Hours week this job"

ENTER hours per week 1-100.

HOURS PER WEEK
(RANGE=1-100)

PCHGJOBHE
PJXX. Since we last talked to him/her, has he/she changed jobs or modified his/her duties to accommodate negative changes in his/her health?
/"PR-Change job for health"

1. YES  5. NO

THE REMAINDER OF SECTION J AND SECTION K OMITTED FROM PROXY QUESTIONNAIRE
NOTE: SECTION L will be placed after Section C in the proxy instrument. In the main instrument, section L will be placed after section D, but section D is not included in the proxy interview. In the main instrument, section L contains a “delayed recall” item that will be placed after section F, but there is no delayed recall item included in the proxy interview.

SECTION L: COGNITIVE STATUS

PCOGST1
PL1. Part of this study is concerned with people's memory and ability to think about things.

First, how would you rate (R’s) memory at the present time? Would you say it is excellent, very good, good, fair or poor?

"PR-Rate Memory"

Excellent.................................1
Very good.................................2
Good........................................3
Fair.........................................4
Poor.......................................5

PCOGST2
PL2. Compared to FILL (YEAR OF LAST IW or 1994) would you say (his/her) memory is better now, about the same, or worse now than it was then?

"PR-Memory Change"

Better........................................1
About the same............................2
Worse.......................................3

PCOGST3
PL3. How would you rate (him/her) in making judgments and decisions? Would you say (he/she) is excellent, very good, good, fair or poor?

"PR-Rate Judgments"

Excellent.................................1
Very good.................................2
Good........................................3
Fair.........................................4
Poor.......................................5

PCOGST4
PL4. How would you rate (his/her) ability to organize (his/her) daily activities? (Would you say [he/she] is excellent, very good, good, fair or poor?)

"PR-Rate Organization"

Excellent.................................1
Very good.................................2
Good........................................3
Fair.........................................4
Poor.......................................5

PL5
Now we want you to remember what (FILL R) was like in FILL (YEAR OF LAST IW) and to compare it with what (he/she) is like now. I will read situations where (he/she) has to use (his/her) memory or intelligence and we would like you to indicate whether this has improved, not much changed, or gotten worse since FILL(YEAR OF LAST IW). Note the importance of comparing (his/her) present
performance with FILL(YEAR OF LAST IW). So if in FILL (YEAR OF LAST IW) (he/she) always forgot where (he/she) had left things, and (he/she) still does, then this would be considered "not much change".

PCOGST5a
PL5a. Compared with FILL (YEAR OF LAST IW), how is (he/she) at:
   Remembering things that have happened recently. Is (he/she) much improved, a bit improved, not much changed, a bit worse or much worse?
   /*PR-Remember recent events*/
      Much improved..............................1
      A bit improved.............................2
      Not much changed..........................3
      A bit worse................................4
      Much worse...............................5

PCOGST5b
PL5b. (Compared with FILL (YEAR OF LAST IW), how is (he/she) at:)
   Recalling conversations a few days later. (Is (he/she) much improved, a bit improved, not much changed, a bit worse or much worse?)
   /*PR-Remember Conversations*/
      Much improved..............................1
      A bit improved.............................2
      Not much changed..........................3
      A bit worse................................4
      Much worse...............................5

PCOGST5c
PL5c. (Compared with FILL (YEAR OF LAST IW), how is (he/she) at:)
   Remembering (his/her) address and telephone number. (Is (he/she) much improved, a bit improved, not much changed, a bit worse or much worse?)
   /*PR-Remember Addresses*/
      Much improved..............................1
      A bit improved.............................2
      Not much changed..........................3
      A bit worse................................4
      Much worse...............................5

PCOGST5d
PL5d. (Compared with FILL (YEAR OF LAST IW), how is (he/she) at:)
   Remembering what day and month it is. (Is (he/she) much improved, a bit improved, not much changed, a bit worse or much worse?)
   /*PR-Remember date*/
      Much improved..............................1
      A bit improved.............................2
      Not much changed..........................3
      A bit worse................................4
      Much worse...............................5

PCOGST5e
PL5e. Compared with FILL (YEAR OF LAST IW), how is (he/she) at:
   Handling everyday arithmetic problems, such as knowing how much food to buy, knowing how long between visits from family or friends. Is (he/she) much improved, a bit improved, not much changed, a bit worse or much worse?
   /*PR-Calculations*/
      Much improved..............................1
      A bit improved.............................2
      Not much changed..........................3
      A bit worse................................4
      Much worse...............................5
PCOGST5f
PL5f. (Compared with FILL (YEAR OF LAST IW), how is (he/she) at:)
Using (his/her) intelligence to understand what’s going on and to reason things through. (Is (he/she) much improved, a bit improved, not much changed, a bit worse or much worse?)

"PR-Reasoning"

Much improved..............................1
A bit improved....................................2
Not much changed...............................3
A bit worse......................................4
Much worse......................................5
SECTION M NOT INCLUDED IN PROXY QUESTIONNAIRE.
SECTION N: LIFE EVENTS

PLEWID

PN1. Next, I have some questions about experiences (he/she) may have had since we interviewed (him/her) last in FILL (MONTH OF IW, 2001/2, 1994, 1989 OR 1986).

Since we interviewed (him/her) in FILL (MONTH OF IW, 2001/2, 1994, 1989 OR 1986), has (he/she) become widowed?

/“PR-widowed since last IW”

1. YES 5. NO --> GO TO PLEROB

PLEWIDYR

PN1a In what year did that happen most recently?

/“PR-year widowed”

If DK. OBTAIN best estimate

_____ YEAR

Hard Consistency Check—If PLEWIDYR > 2011 OR PLEWIDYR < LAST INT YR—The year should be greater than or equal to the year of last interview but no greater than 2011

PLEROB

PN2. Was (he/she) robbed or was (his/her) home burglarized since FILL (MONTH OF IW, 1994, 1989 OR 1986)?

/“PR-Robbed since last IW”

1. YES 5. NO --> GO TO PLEJOB

PLEROBYR

PN2a In what year did that happen most recently?

/“PR-Year robbed”

If DK. OBTAIN best estimate

_____ YEAR

Hard Consistency Check—If PLEROBYR > 2001 OR PLEROBYR < LAST INT YR—The year should be greater than the year of last interview but no greater than 2001

PLEJOB

PN3. Has (he/she) involuntarily lost a job for reasons other than retirement since FILL (MONTH OF IW, 1994, 1989 OR 1986)?

/“PR-Lost job since last IW”

1. YES 5. NO --> NEXT PAGE, PLEATTAC

PLEJOBYR

PN3a In what year did that happen most recently?

/“PR-Year lost job”

If DK. OBTAIN best estimate
Hard Consistency Check—If \( \text{PLEJOBYR} > 2001 \) OR \( \text{PLEJOBYR} < \text{LAST INT YR} \)—The year should be greater than or equal to the year of last interview but no greater than 2001

\( \text{LEJOBMO} \)

PN3b In what month did it happen?

("PR-Month lost job")

(IF DK, PROBE FOR SEASON OR HALF OF YEAR.)

\( \text{PLEATTAC} \)

PN4. Has (he/she) been the victim of a serious physical attack or assault since \( \text{FILL (MONTH OF IW, 1994, 1989 OR 1986)} \)?

("PR-assault since last IW")

1. YES

5. NO --->GO TO PLEPARDI

\( \text{PLEATTKY} \)

N4a In what year was the most recent occurrence?

("PR-Year assaulted")

If DK. OBTAIN best estimate

Hard Consistency Check—If \( \text{PLEATTKY} > 2001 \) OR \( \text{PLEATTKY} < \text{LAST INT YR} \)—The year should be greater than or equal to the year of last interview but no greater than 2001

\( \text{PLEPARDI} \)

PN5. Has a parent or step-parent of (his/hers) died since \( \text{FILL (MONTH OF IW, 1994, 1989 OR 1986)} \)?

("PR-Parent died since last IW")

1. YES

5. NO --->PLELTILL

\( \text{PWHPARDI} \)

PN5a. Who died since then?

("PR-Which parent died")

CHECK all that apply, SEPARATED by dashes

1. MOTHER

2. STEP-MOTHER

3. FATHER

4. STEP-FATHER

\( \text{PPARDIEY} \)

PN5b. In what year did \( \text{FILL (he die/she die)} \)?

("PR-Year parent died")

If DK, OBTAIN best estimate.

Hard Consistency Check—If \( \text{PPARDIEY} > 2001 \) OR \( \text{PPARDIEY} < \text{LAST INT YR} \)—The year should be greater than or equal to the year of last interview but no greater than 2001
PLELTILL
PN6. Since FILL (MONTH OF IW, 1994, 1989 OR 1986), has (he/she) had a life-threatening illness or accidental injury?

 PR-Life threatening illness

1. YES  5. NO --> GO TO PLESERIL

PLETILYR
PN6a In what year did that happen most recently?

 PR-Year LT illness

If DK. OBTAIN best estimate

_______ YEAR

Hard Consistency Check—If PLETILYR > 2001 OR PLETILYR < LAST INT YR—The year should be greater than or equal to the year of last interview but no greater than 2001

LETILMO
PN6b In what month did it happen?

 PR-Month LT illness

(IF DK, PROBE FOR SEASON OR HALF OF YEAR.)

_______ MONTH

PLESERIL
PN7. Since FILL (MONTH OF IW, 1994, 1989 OR 1986), has (he/she) had any serious, but not life-threatening, illness or injury that occurred or got worse?

 PR-Serious illness

1. YES  5. NO --> PLEDIV

PLESRILY
PN7a In what year did that happen most recently?

 PR-Year serious illness

If DK. OBTAIN best estimate

_______ YEAR

Hard Consistency Check—If PLESRILY > 2001 OR PLESRILY < LAST INT YR—The year should be greater than or equal to the year of last interview but no greater than 2001

LESRIIMO
PN7b In what month did it happen?

 PR-Month serious illness

(IF DK, PROBE FOR SEASON OR HALF OF YEAR.)

_______ MONTH

PLEDIV
PN8. Has (he/she) gotten a divorce since FILL (MONTH OF IW, 1994, 1989 OR 1986)?
"PR-Divorce since last IW"

1. YES

5. NO ---GO TO PCHLDDI

PLEDIVYR
PN8a. In what year did that happen most recently?
"PR-Year most recent divorce"

If DK. OBTAIN best estimate

______ YEAR

Hard Consistency Check—If PLEDIVYR > 2001 OR PLEDIVYR < LAST INT YR—The year should be greater than or equal to the year of last interview but no greater than 2001

PCHLDDIA
PN9a. How old was the child when it happened?
"PR-How old child when died"

ENTER age:

PCHLDDIA
PN9b. How old was the child when it happened?
"PR-How old child when died"

ENTER age:

PLEOTHDI
PN9. Since FILL (MONTH OF IW, 1994, 1989 OR 1986), has a child of (his/hers) died?
"PR-Child died since last IW"

1. YES

5. NO --- PLEOTHDI

PLEDIVYR
PN9a. In what year did that happen most recently?
"PR-Year child died"

If DK. OBTAIN best estimate

______ YEAR

Hard Consistency Check—If PCHDDIEY > 2001 OR PCHDDIEY < LAST INT YR—The year should be greater than or equal to the year of last interview but no greater than 2001

PLEINPR
PN10. Other than a spouse, parent or child, has a close relative or one of (his/her) close friends died since we interviewed (him/her) in FILL (MONTH OF IW, 1994, 1989 OR 1986)—someone (he/she) felt (he/she) could call on for advice or help if (he/she) needed it?
"PR-Other died since last IW"

1. YES

5. NO ---GO TO PLEFINPR

PLEDIVYR
PN8a. In what year did that happen most recently?
"PR-Year most recent divorce"

If DK. OBTAIN best estimate

______ YEAR

Hard Consistency Check—If PLEDIVYR > 2001 OR PLEDIVYR < LAST INT YR—The year should be greater than or equal to the year of last interview but no greater than 2001
PLEFINPR
PN11. Since FILL (MONTH OF IW, 1994, 1989 OR 1986) has he/she had any serious financial problems or difficulties?
"PR-Finan prob since last IW"

1. YES  5. NO -->NEXT PAGE, PLEOTH

PFINPRBY
PN11a. In what year did that happen most recently?
"PR-Year financial problem"

If DK. OBTAIN best estimate

______YEAR

Hard Consistency Check—If PFINPRBY > 2001 OR PFINPRBY < LAST INT YR—The year should be greater than or equal to the year of last interview but no greater than 2001

PLEOTH
PN12. Again, since we last interviewed (him/her) in FILL (MONTH OF IW, 1994, 1989 OR 1986) has anything (else) bad happened to (him/her) that upset (him/her) a lot and that you haven't already told me about?
"PR-Other thing since last IW"

1. YES  5. NO -->NEXT PAGE, PCHECKQ1

PLEOHTTY
PN12a. What was the most upsetting thing that happened that (him/her) haven't already told me about?
"PR-What other thing"

ENTER open ended answer:

PLEOHTYR
PN12b. In what year did that happen?
"PR-Year other thing happened"

If DK. OBTAIN best estimate

______YEAR

Hard Consistency Check—If PLEOHTYR > 2001 OR PLEOHTYR < LAST INT YR—The year should be greater than or equal to the year of last interview but no greater than 2001
SECTION P NOT INCLUDED IN PROXY QUESTIONNAIRE.
SECTION Q: DEMOGRAPHICS

PCHECKQ1
PQ1. INTERVIEWER CHECKPOINT
//"PR-currently married"

SEE MARSTAT
1. R IS CURRENTLY MARRIED
   2. ALL OTHERS--->Go to POWNRENT

PSPWRK
PQ2. Finally I have some questions about (your/her husband’s/his wife’s) background and current financial situation. (Is/Are) (you/her husband/his wife) working for pay at the present time, looking for work, retired, or doing something else?

If more than one, PROBE: Which best describes FILL (his/her) current situation?
//"PR-Spouse major activity"

1. WORKING NOW; ON SICK LEAVE
2. TEMPORARILY LAID OFF WORK
3. RETIRED
4. LOOKING FOR WORK, UNEMPLOYED
5. PERMANENTLY DISABLED
6. KEEPING HOUSE
7. STUDENT
8. OTHER (SPECIFY): //"PR-SP other activity"
Q2SP. Specify ________________

CHECKQ3
Q3. INTERVIEWER CHECKPOINT
//"PR-married since last IW"

Compare PMARYR to year of last iw
1. R GOT MARRIED AFTER DATE OF LAST INTERVIEW
   2. ALL OTHERS--->GO TO POWNRENT
PSPDOB
PQ4. What is the month, day, and year of (his/her) FILL (your/husband's/wife's) birth?
/"PR-DOB new spouse"

ENTER year in MM/DD/YYYY format

______________________/_________/_______
MONTH       DAY       YEAR

PSPBORNS
PQ5. In what state or foreign country (was/were) FILL (he/she/you) born?
/"PR-State of birth spouse"

ENTER state. PRESS CTRL-D, then [Enter] if not in the US

__________________________STATE

PSPBORNC
PQ5CT. (In what state or foreign country (was/were) FILL (he/she/you) born?)
/"PR-country birth spouse"

ENTER foreign country

__________________________

PSPEDUC
PQ6. What is the highest grade of school or year of college (his/her) FILL (husband/wife/you) completed?
/"PR-Education new spouse"

ENTER number of years from 0 to 17
If R gives an answer greater than 17 years, ENTER “17”

<table>
<thead>
<tr>
<th>GRADES OF SCHOOL</th>
<th>COLLEGE</th>
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PSPHSDEG
PQ6a. Did FILL (he/she) get a high school diploma or pass a high school equivalency test?
/"PR-Spouse has GED"

| 1. YES | 5. NO |

PSPBADEG
PQ6b. Does FILL (he/she) have a bachelor’s degree?
/"PR-Spouse has BA"

| 1. YES | 5. NO |

POWNRENT
PQ9. Does (he/she) own (his/her) own home, apartment or farm, does (he/she) pay rent, or what?

IWER: This question applies to whatever the proxy considers to be R’s primary residence.

IWER NOTE: R may live in nursing home or assisted living facility and still
own or rent a home or apartment.

"PR-own rent or other"

1. OWNS OR IS BUYING  5. PAYING RENT  7. NEITHER OWNS NOR RENTS

SKIP: IF POWNRENT = 1 AND PHOUSTYP (PE11) = 1,2,3,4,5, ASK PMORTGAG
SKIP: IF POWNRENT = 7, ASK PASSET

PMORTGAG
PQ9a. Does (he/she) have a mortgage on this property?
"PR-Have a mortgage"

1. YES: MORTGAGE, LAND CONTRACT OR DEED OF TRUST  5. NO

PHOMEWRT
PQ9b. If (he/she) sold this (house/apartment/farm) today, how much money would (he/she) get for it (after paying off the mortgage)?
"PR-Home worth today"

ENTER "0" If R says he/she would lose money.
ENTER "2,000,000" if R would get above two million.

$__________________________AMOUNT R WOULD RECEIVE

Soft check—If PHOMEWRT less than or equal to $10,000. Ask R: You indicated (he/she) would receive ________. Does that include (his/her) down payment?

IF PHOMEWRT .NE. DK or RF go to PASSET

PHMWRT2
PQ9c. While you may not know exactly how much this (house/apartment/farm) is worth, would it be $50,000 or more?
"PR-Home worth 50K+

1. YES  5. NO

PHMWRT2A
PQ9d. Would it be $100,000 or more?
"PR-Home worth 100K+

1. YES  5. NO

PHMWRT2B
PQ9e. Would it be $250,000 or more?
"PR-Home worth 250K+

1. YES  5. NO

PHMWRT2C
PQ9f. Would it be $500,000 or more?
"PR-Home worth 500K+

1. YES  5. NO

PHMWRT2D
PQ9g. Would it be $10,000 or more?
"PR-Home worth 10K+

1. YES  5. NO

PHMWRT2E
PQ9h. Would it be $25,000 or more?
"PR-Home worth 25K+

1. YES  5. NO

PHMWRT2F
PQ9i. Would it be $100,000 or more?
"PR-Home worth 100K+

1. YES  5. NO
PASSET

PQ-10a. Now I’d like to talk about assets – that is, things that (he/she) could sell, if (he/she) wanted, to earn additional money. We do not need detailed amounts, just whether (he/she) FILL (and [his/her] husband/wife/partner/you) have any assets I will mention.  
/”PR-Which assets”

READ the list. ENTER all that apply, separated by dashes

Real estate “other than (his/her) main home,” such as a second/vacation home, land, rental real estate, a partnership, or money owed to (him/her) on a land contract or mortgage.................................1

Part or all of a business or farm................................................2

Money or assets that are held in retirement accounts under (his/her) or (his/her) FILL (spouse’s/partner’s) name, such as a pension, an annuity, an Individual Retirement Account (IRA), KEOGH, or a 401(k) account........3

Savings or investments, such as shares of stock, mutual funds, corporate, municipal, government or foreign bonds, bond funds (including government savings bonds and treasury bills), checking or savings accounts, certificates of deposit, or money market funds.................4

One or more working cars...........................................................5

Any other savings or assets, such as jewelry, boats or other vehicles, money owed to (him/her) by others, a collection for investment purposes, rights in an estate where (he/she) is the beneficiary, or other trust funds (do not include the cash value of any life insurance policies)....6

None of the above.................................................................7

SKIP: IF PASSET=7, GO TO PINCSRC

SKIP: IF PASSET .NE. 5, ASK: PWEALTH1

PASSET6

PQ-10b. How many cars does (he/she) FILL (and [his/her] husband/wife/partner/own) own? Again, please include only cars that work.
/”PR-How many cars”

1........................................1
2........................................2
3........................................3
4 or more............................4
PWEALTH1
PQ-11. Now, thinking about all the things you’ve mentioned above, including FILL (real estate AND all or part of a business or farm AND retirement accounts AND savings or investments AND one or more cars AND other assets), but not including (his/her) main home, how much would you say that all these assets are worth together? 
"PR-Total worth assets"

ENTER amount from 1 to 99,999,999
$
$

Soft Check-If \( \leq \) $999—All of (his/her) assets are worth $ FILL (___), is that right? 
Soft Check-IF \( \geq \) $5,000,000—All of (his/her) assets are worth $ FILL (___), is that right? 

***Set upper limit at $99,999,999
SKIP: IF respondent gives a dollar figure for PWEALTH1, ASK: PINCSRC

PWEALTH2
PQ12. While you may not know exactly how much these things are worth, would it be $50,000 or more? 
"PR-Assets 50K+"

1. YES

5. NO

GO TO PINCSRC

PWEALT2A
PQ12a. Would it be $100,000 or more? 
"PR-Assets 100K+"

1. YES

5. NO

GO TO PINCSRC

PWEALT2B
PQ12b. Would it be $250,000 or more? 
"PR-Assets 250K+"

1. YES

5. NO

GO TO PINCSRC

PWEALT2C
PQ12c. Would it be $500,000 or more? 
"PR-Assets 500K+"

1. YES

5. NO

GO TO PINCSRC

PWEALT2D
PQ12d. Would it be $10,000 or more? 
"PR-Assets 10K+"

1. YES

5. NO

GO TO PINCSRC

PWEALT2E
PQ12e. Would it be $25,000 or more? 
"PR-Assets 25K+"

1. YES

5. NO

GO TO PINCSRC

SKIP—If R answers DK or RF to any of PQ12a-PQ12e, Go to PINCSRC.
PINCSRC
PQ13a. In order to get an accurate picture of (his/her) FILL (and her husband's/and his wife's/your) income, it helps to know the different sources of income (he/she) FILL (and her husband/and his wife) may have had during the past 12 months. We do not need detailed amounts, just whether (he/she) FILL (and her husband/and his wife) had any income from the sources I will mention.

/*PR-Sources of income*/
READ the list, ENTER all that apply, separated by dashes

1. Income from wages, salary, commissions and tips
2. Social Security payments, including payments for children
3. Retirement pay, such as pensions, annuities, IRAs, Keoghs, 401(K) accounts, or veteran’s benefits
4. Income from rent, interest, dividends, money market funds, trust funds, or other investments
5. Unemployment compensation, disability or workers' compensation, or SSI (Supplemental Security Income—a federal government program to provide money to disabled persons and low-income elderly)
6. Child support payments or alimony
7. Public assistance payments such as State or County Assistance, TANF, AFDC, or other government welfare payments
8. Food stamps (income to lower income families to purchase food) or energy and housing assistance from the government
9. Any other sources of income
10. None of the above

Soft Check if PINCSRC = 10—You indicate that (he/she) doesn’t have income from any sources, including support from the government or from (his/her) family. Is this correct?

If PINCSRC = 10, Go to PENDIW

PINCOME1
PQ14. Now, thinking about all possible sources of income (he/she) FILL (and her husband/and his wife) had over the past 12 months including FILL ([his/her] income from a job AND Social Security payments AND retirement pay AND investments income AND unemployment or worker’s compensation AND child support or alimony AND public assistance payments AND food stamps AND other income sources) how much income before taxes would you say (he/she) FILL (and her husband/and his wife) received from all these sources?

/*PR-Total income*/
ENTER amount from $1 to $9,999,999

$______________

Soft Check—If PINCOME1 > or = $1,000,000

IF PINCOME1 .NE. DK or RF go to PENDIW
PINCOM2a

PQ14a. While you may not know the exact amount, would (his/her) FILL (and her husband’s/and his wife’s) total income before taxes in the last 12 months be $30,000 or more?

/"PR-Income 30K+"

1. YES
5. NO
8. DK/REF ---> Go to PENDIW

GO TO PINCOM2f

PINCOM2b

PQ14b. Would it be $60,000 or more?

/"PR-Income 60K+"

1. YES
5. NO

PINCOM2c

PQ14c. Would it be $80,000 or more?

/"PR-Income 80K+"

1. YES
5. NO ---> Go to PENDIW

PINCOM2d

PQ14d. Would it be $100,000 or more?

/"PR-Income 80K+"

1. YES
5. NO

Go to PENDIW

PINCOM2e

PQ14e. Would it be $40,000 or more?

/"PR-Income 40K+"

1. YES
5. NO

Go to PENDIW
PINCOM2f
PQ14f. Would it be $15,000 or more?
/"PR-Income 15K+

1. YES  

5. NO

PINCOM2g
PQ14g. Would it be $20,000 or more?
/"PR-Income 20K+"

1. YES  5. NO ---> Go to PENDIW

PINCOM2j
PQ14j. Would it be $25,000 or more?
/"PR-Income 25K+"

1. YES  5. NO

PINCOME2d1
Q14d. Would it be $250,000 or more?
/"Income 100K+

1. YES  5. NO --> Go to PINCOME2d2

Go to BANKRUPT

PINCOME2d2
PQ14d. Would it be $150,000 or more?
/"Income 100K+

1. YES  5. NO

Go to BANKRUPT

SKIP—If R answers DK or RF to any of PQ14a-PQ14k, Go to PENDIW.

PENDIW
PQ15. This completes the interview. Thank you very much for answering these questions.
PRESS “1” and [Enter] to finish the interview.

UPDATE/VERIFY SAMPLE R’S ADDRESS AND PHONE NUMBER AND CONTACT PERSON.

ALSO VERIFY PROXY R’S NAME AND ADDRESS, AND OBTAIN CONTACT PERSON FOR PROXY R.
SECTION X: INTERVIEWER'S OBSERVATIONS

X1. Interview taken:

1. FACE TO FACE  2. BY TELEPHONE

"PR-IW face to face or phone"

X2. Interview conducted in:

"PR-IW language"  "PR-IW language other"

<table>
<thead>
<tr>
<th>1. ENGLISH</th>
<th>2. SPANISH</th>
<th>3. OTHER</th>
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<tbody>
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<td></td>
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<td>SPECIFY: ______________________________</td>
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</table>

X3. How was Proxy's understanding of the questions?

"PR-PR's understanding"

<table>
<thead>
<tr>
<th>1. EXCELLENT</th>
<th>2. GOOD</th>
<th>3. FAIR</th>
<th>4. POOR</th>
</tr>
</thead>
</table>

X4. How was Proxy's cooperation during the interview?

"PR-PR's cooperation during IW"

<table>
<thead>
<tr>
<th>1. EXCELLENT</th>
<th>2. GOOD</th>
<th>3. FAIR</th>
<th>4. POOR</th>
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</table>

QUESTIONS X6-X14 OMITTED FROM PROXY QUESTIONNAIRE.